

# Recent progress in finite density lattice QCD towards high density

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Exploration for QCD phase diagram

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# **Longstanding issues towards the understanding of origin of baryonic matters**

- **where is QCD critical endpoint ?**
- **Is chiral symmetry restored in nuclei ?**
- **What is the EoS inside neutron stars ?**

# This is challenging issue both for theory and experiment

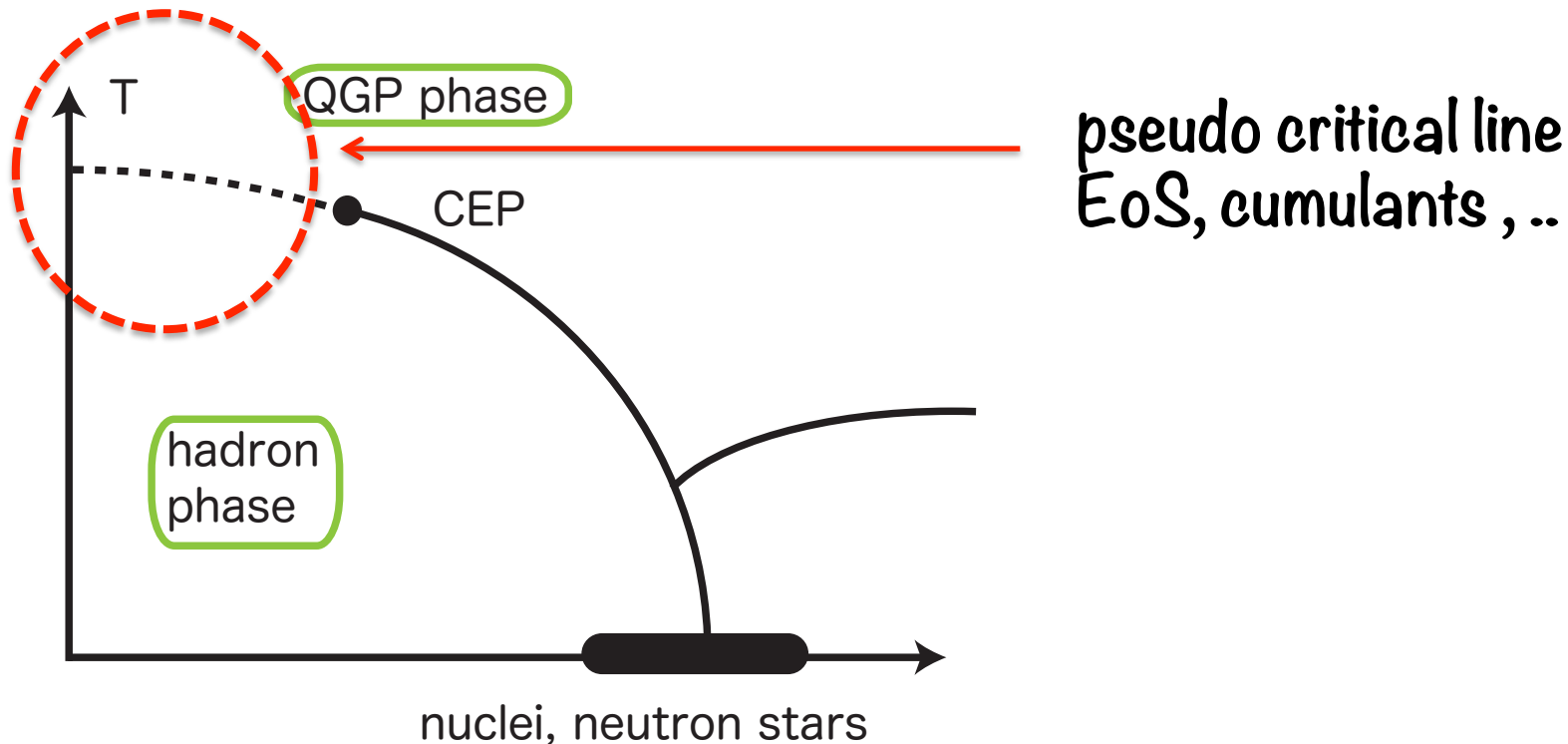
- accessible range on the diagram, and measurable quantity is limited in experiments.
- sign problem occurs in finite density LQCD
- although many model studies
  - large uncertainty for the location of CEP[e.g. Stephaov:hep-lat/0701002]
  - puzzle in NS with twice solar mass[Demorest, 1010.5788]

**A reliable result is necessary to overcome  
the present situation**

# How is the situation of lattice QCD ?

# MC-based approaches to finite density

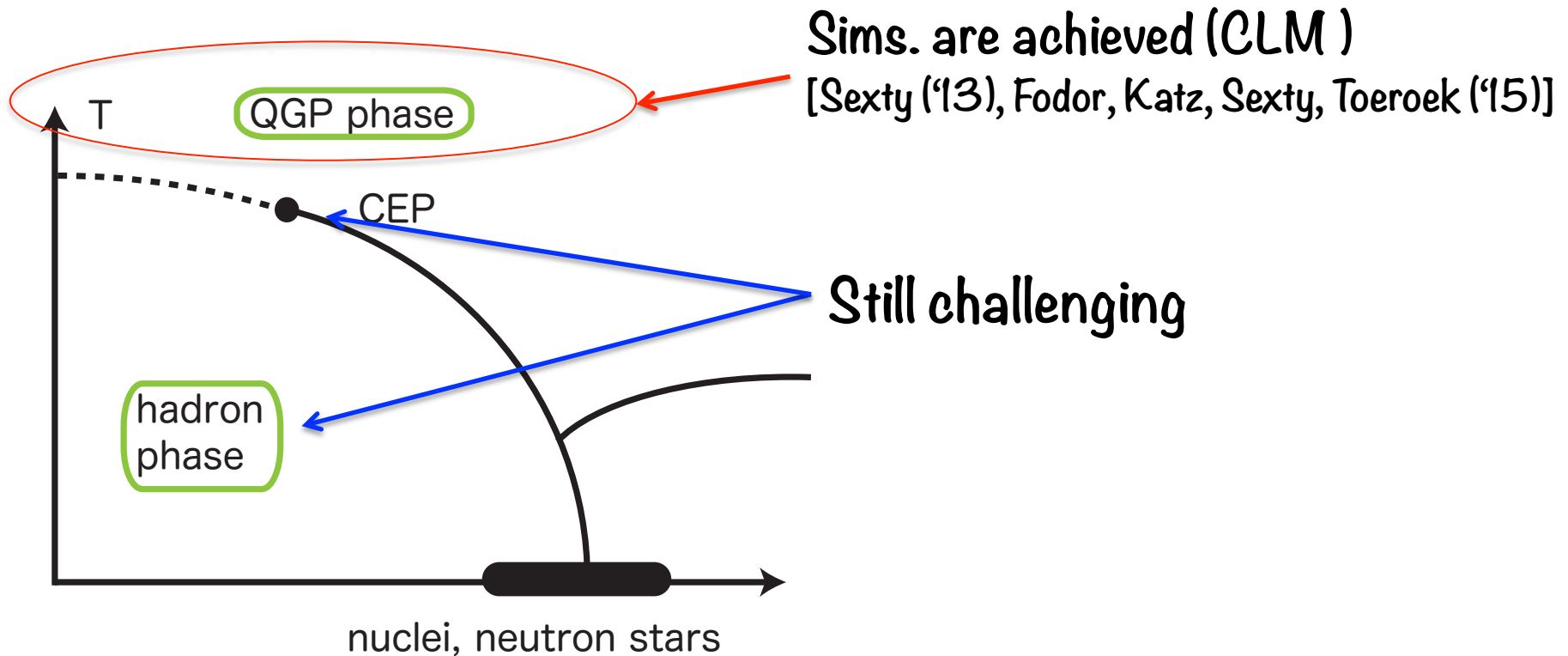
- reweighting, Taylor expansions, imaginary chemical potential, etc,



# New approaches

to go beyond the limit of conventional approaches

e.g. Complex Langevin method (CLM), Lefschetz thimble, tensor networks ...



# Purpose of this talk: introduction of recent progress of finite density lattice QCD

1. MC-based approaches: where is the limitation ?
2. complex Langevin to QCD in QGP phase
3. CLM to hadron phase:
  - what is difficulty ?



# MC-BASED APPROACH WHERE IS THE LIMITATION ?

# Finite density lattice QCD and sign problem

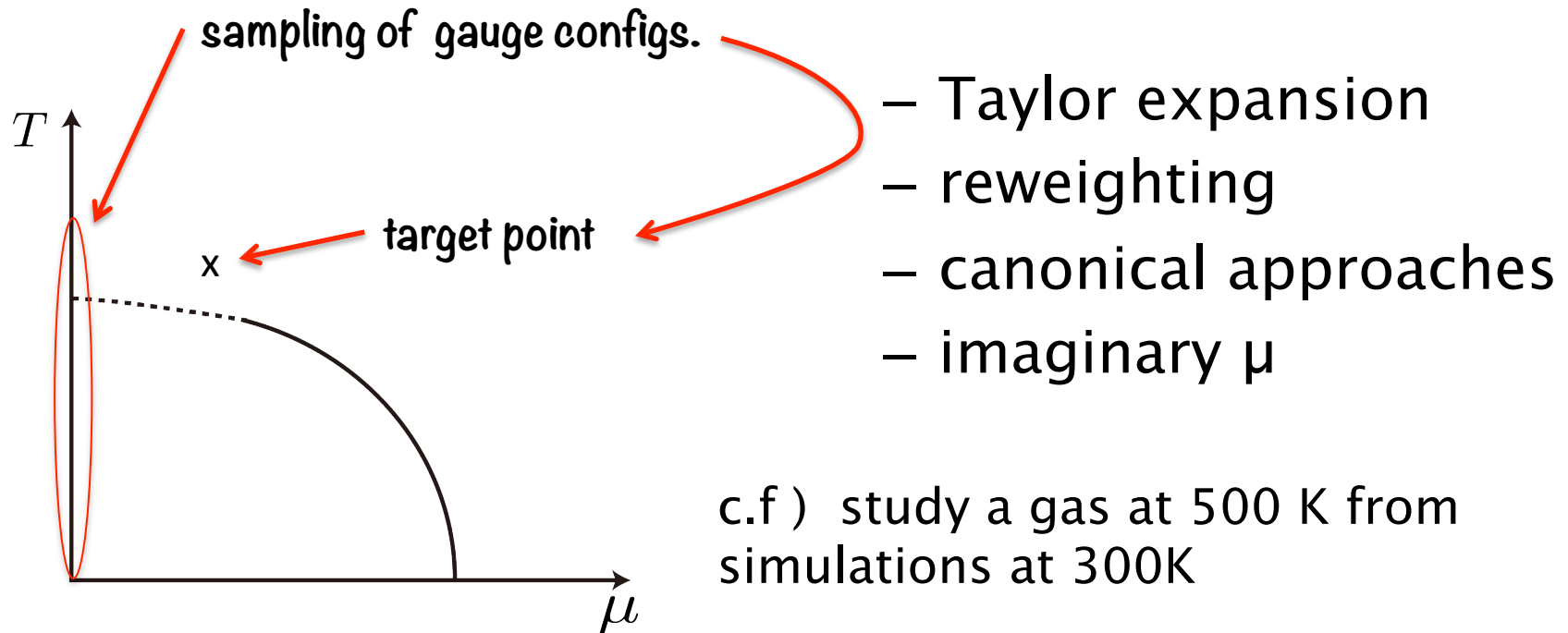
$$Z(\mu) = \int \mathcal{D}U (\det \Delta(\mu))^{N_f} e^{-S_G}$$

$$\Delta(\mu) = \gamma_\nu D_\nu + m + \gamma_4 \mu$$

$O(V)$ -dimensional  
integral

- in usual LQCD simulations ( $\mu=0$ )
  - importance sampling is to sample configs dominating path integral.
- *At nonzero  $\mu$ , the importance sampling is not available*
  - $\det \Delta(\mu)$  is complex at nonzero  $\mu$

# Some ideas to access to nonzero $\mu$ using gauge configs. obtained at $\mu=0$



(Imaginary/isospin chemical potential are also used to generate gauge configs.)

# Taylor expansions w.r.t $\mu$

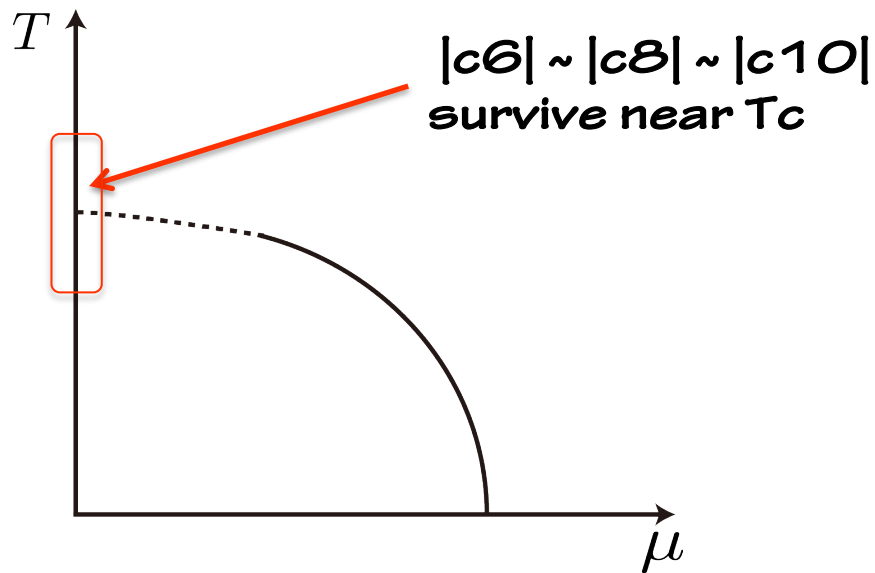
- e.g. free energy

$$-\frac{f(\mu)}{T^4} = c_0 + c_2 \left(\frac{\mu}{T}\right)^2 + \dots$$

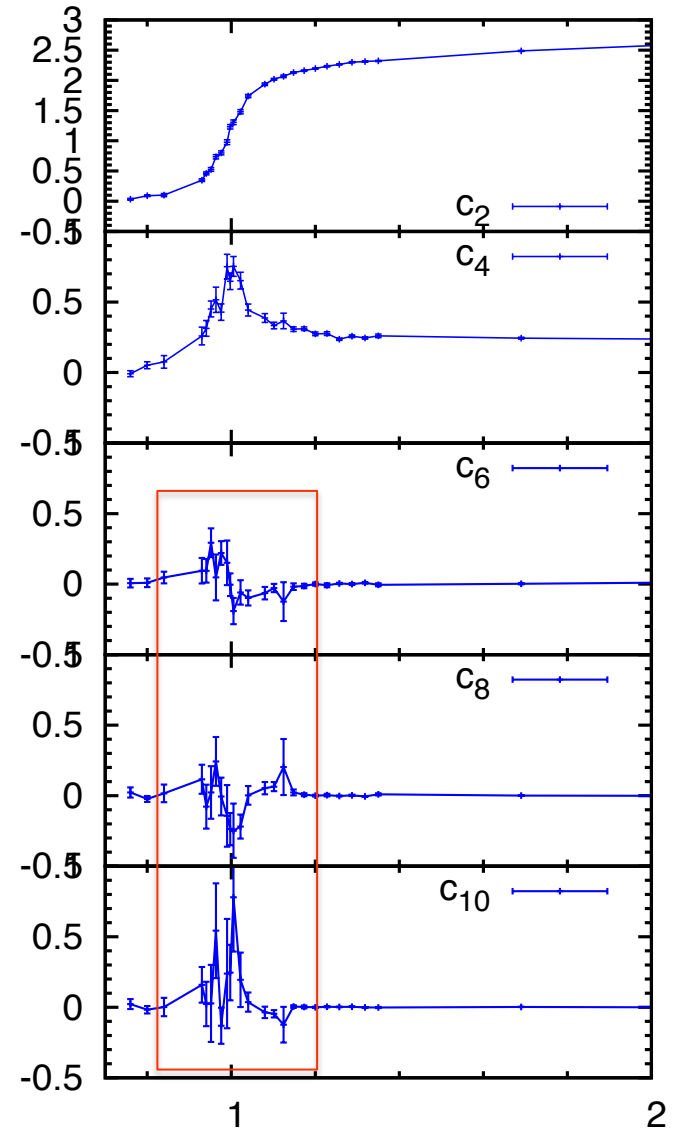
- $c_n$  are defined at  $\mu = 0$ , and calculable in ordinary LQCD simulations
  - available for any differentiable observables
- in the context of HIC experiments
    - comparison of  $c_n$  with cumulants in BES experiments

Taylor expansion is reliable  
for  $\mu/T < 1$  for  $T \sim T_c$ .

Larger  $\mu$ , larger (uncontrollable)  
systematic errors



$c_n(T)$  vs  $T/T_c$



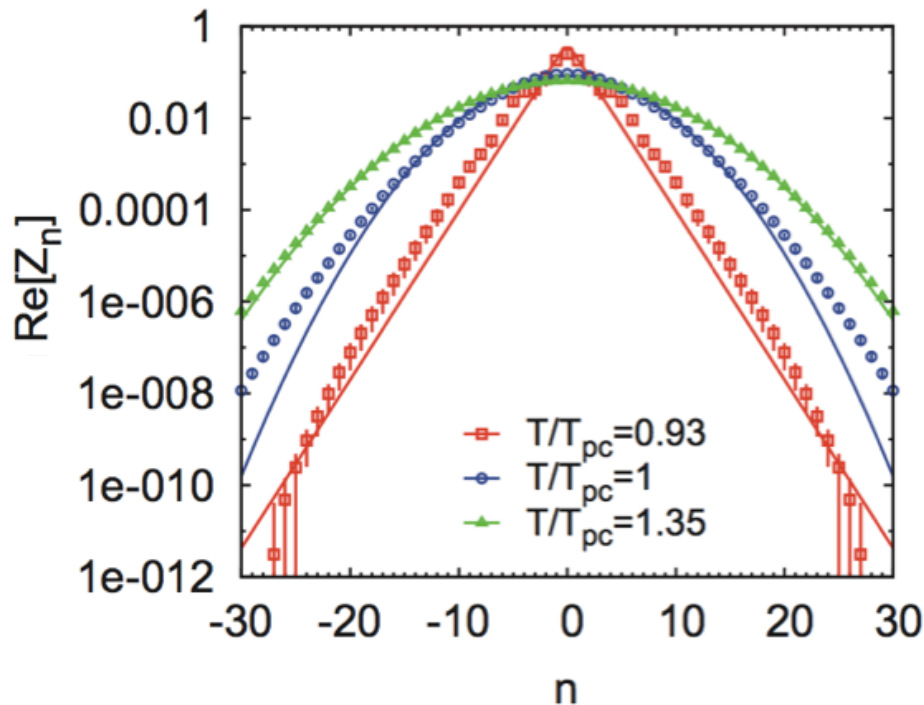
KN, Nakamura, JHEP1204, 092(2012).

# Canonical approach

$$Z(\mu) = \text{tr} e^{-(\hat{H} - \mu \hat{N})/T}$$
$$= \sum_{n=-N}^N \underline{Z_n e^{n\mu/T}}$$

$Z_n$  can be  
obtained at  $\mu=0$

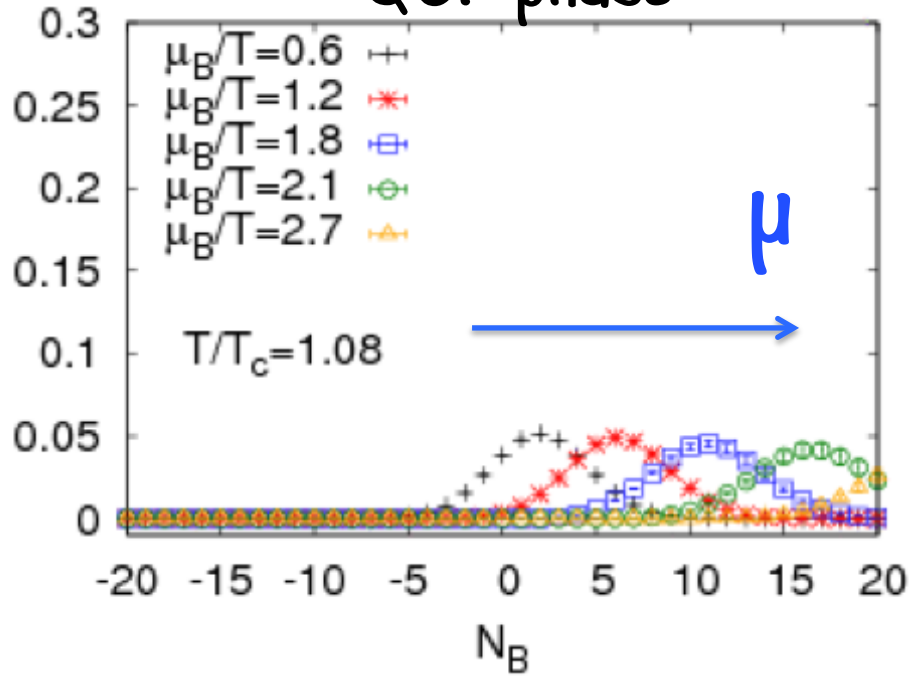
$$Z_n = \langle n | e^{-\beta \hat{H}} | n \rangle$$



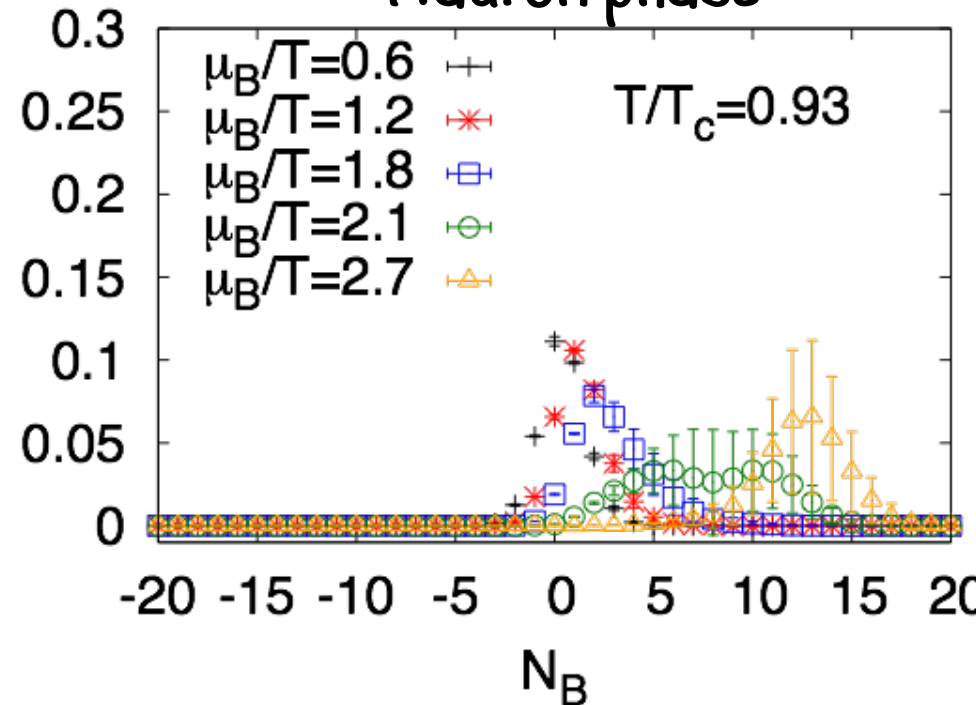
KN, et al PTEP. O1A, O13 (2012);

$$Z_{n_B} \exp(n_B \mu_B / T), \quad (n_B: \text{baryon number})$$

QGP phase



Hadron phase

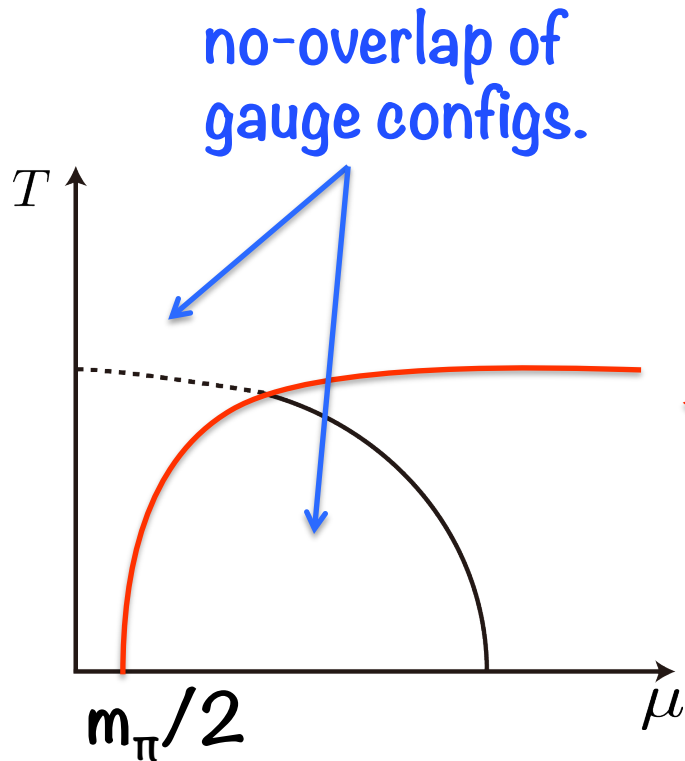


Non-monotonic behavior in hadron phase  
 $\Rightarrow$  more reliable estimation is needed for large  $n$  sector

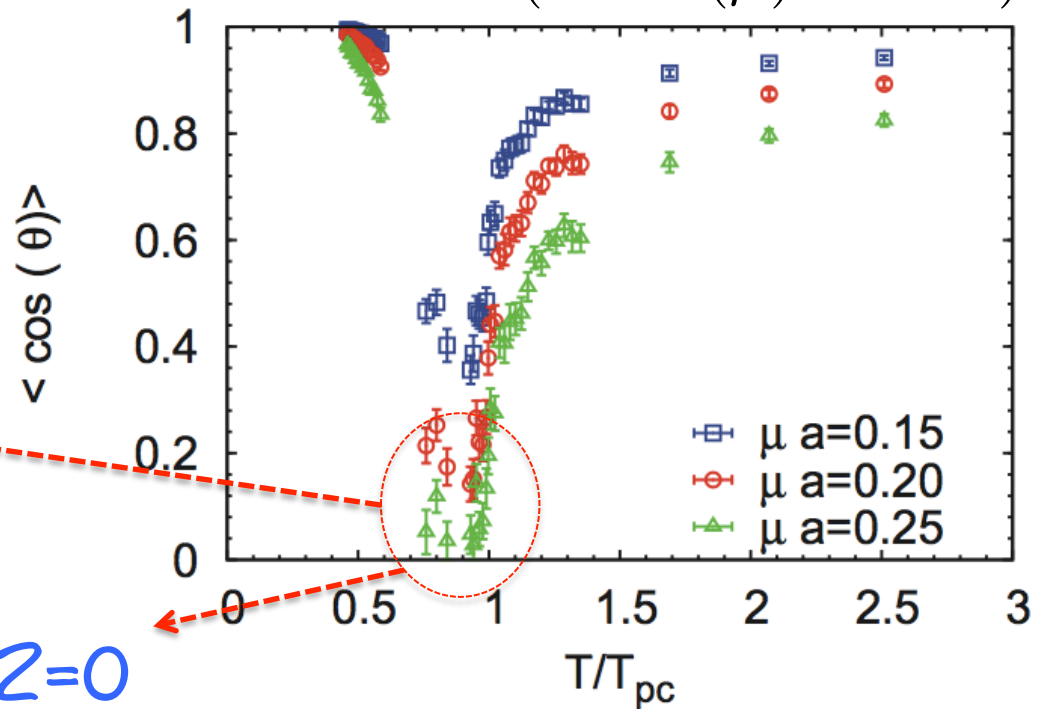
# Applicable limit

Overlap of gauge configs:  
cf. microscopic states of ice and water

Fluctuation of phase  
( $\det \Delta(\mu) \equiv r e^{i\theta}$ )



$Z=0$



KN et al PTEPO1A013(2012).



# Conventional approaches are limited to small $\mu$ .

- larger  $\mu$ , larger systematic errors
  - e.g. slow convergence of Taylor expansion
  - other approaches also have similar problem
  - less overlap

MC-based finite density LQCD for nonzero  $\mu$   
= real world + Lattice artifacts (finite size, etc) +  
unknown systematic errors

# CLM TO QGP PHASE WHERE WE CAN STUDY

# Developing new approaches

has already begun

- e.g., complex Langevin method (CLM), Lefschetz thimble, Tensor network, etc
  - *based on ideas different from importance sampling*
  - some theories, which were out of scope of the conventional approaches, have already solved.
  - e.g. chRMT at finite density
- *Among them, CLM has already applied to finite density QCD.*

# (Real) Langevin method

- solve the path integral using the Langevin equation

[Parisi–Wu('81)]

$$Z = \int \prod dx_i e^{-S(x)}$$

$$\frac{\partial x_i}{\partial t} = -\frac{\partial S}{\partial x_i} + \eta_i(t)$$

**t**: Langevin time  
 *$\eta$* : Gaussian noise

- expectation value of  $O \sim$  Langevin time average

$$\langle O \rangle_{LM} = T^{-1} \int_0^T dt O(t)$$

- Validity of LM is proved using the Fokker–Plank eq.

$$\langle O \rangle_{LM} = \langle O \rangle_{phys}$$

# Complex Langevin method(CLM)

- LM is available even for complex action [Parisi, Klauder('83)]
  - because it is free from the probability interpretation of  $e^{-S}$

- Langevin eq. for  $S$  complex

$$\partial_t x_i = -\partial_x S + \eta \quad \in \text{complex}$$

- Use of LM to complex  $S$  requires to extend real variables to complex [complexification]

$$x \in \mathbb{R} \rightarrow z = x + iy \in \mathbb{C}$$

- extend action (also observables) analytically

$$S(x) \rightarrow S(z) = S(x + iy)$$

# CLM

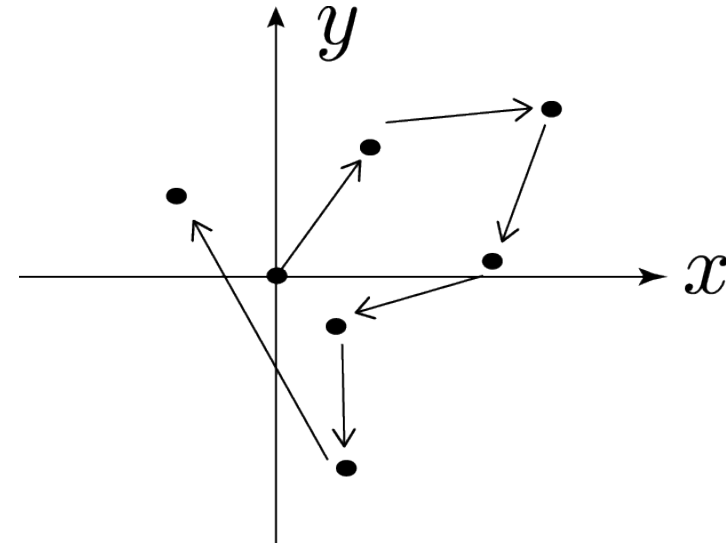
- apply Langevin equation to  $z$

$$\frac{\partial z}{\partial t} = -\frac{\partial S}{\partial z} + \eta(t)$$

- configs. move on extended phase space

- expectation value = Langevin time average

$$\langle O \rangle_{CLM} = T^{-1} \int_0^T dt O(t)$$

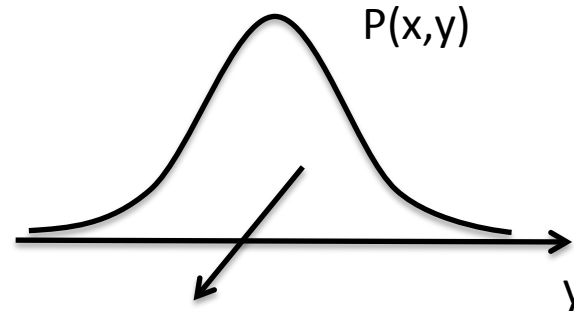
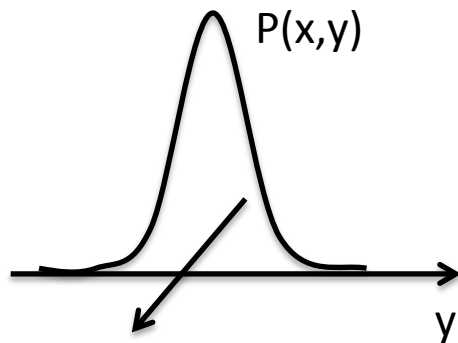


random walk  
on complexified space

# Is CLM valid ?

$$\langle O \rangle_{CLM} \stackrel{?}{=} \langle O \rangle_{phys}$$

- equality holds if [Aarts, et. al. PRD81, 054508('10)].
  - $S$  and  $O$  are independent of  $z^* = x - iy$  [holomophy]
  - configs. do not extend to  $y$ -direction



long skirt violates  
the equivalence

# Application to LQCD at nonzero $\mu$

- complexification
  - link variables  $U_{n,\mu} \in SU(3) \rightarrow \mathcal{U}_{n,\mu} \in SL(3, \mathbb{C})$
  - action and observables are analytically continued in a holomorphic manner
  - gauge invariance is also extended  $U_{n,\mu} \rightarrow g_n \mathcal{U}_{n,\mu} g_{x+\hat{\mu}}^{-1}$
- Langevin equation

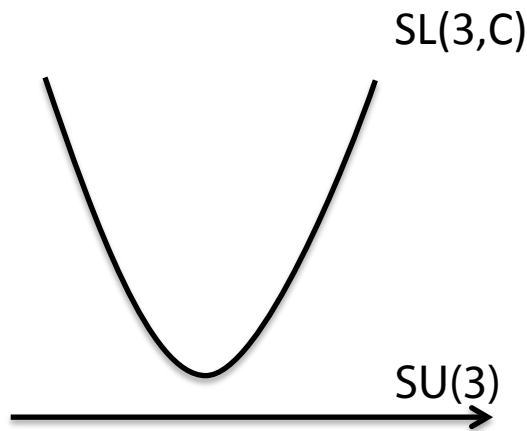
$$\mathcal{U}_{n,\mu}(t + \epsilon) = e^{iX} \mathcal{U}_{n,\mu}(t),$$

$$X = \sum_a \lambda_a [(\mathcal{D}_{an\mu} S)\epsilon + \sqrt{2\epsilon} \eta_{an\mu}]$$



# wrong convergence problem in QCD

- Excursion problem
  - Langevin dynamics is unstable in the complexified direction of link variables



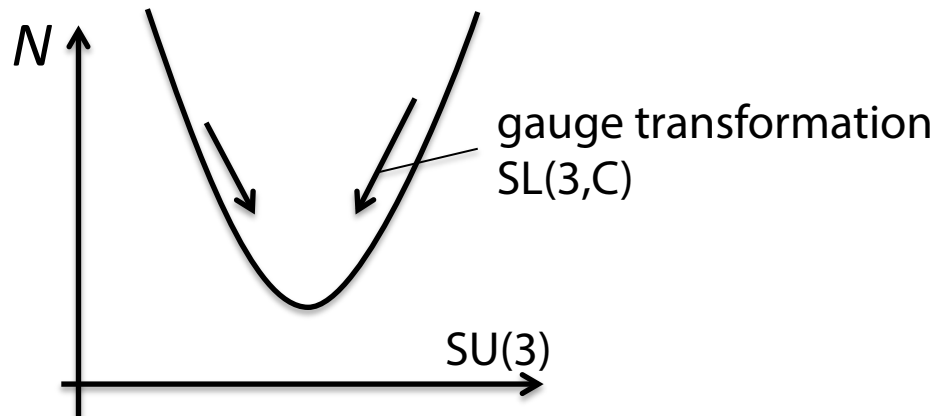
- this spoils a validity condition ( $P(x,y) \rightarrow 0$  for large  $y$ )

# Gauge cooling [Seiler, et al.('12), Sexty ('14)]

- unitarity norm = “distance” from SU(3) matrices

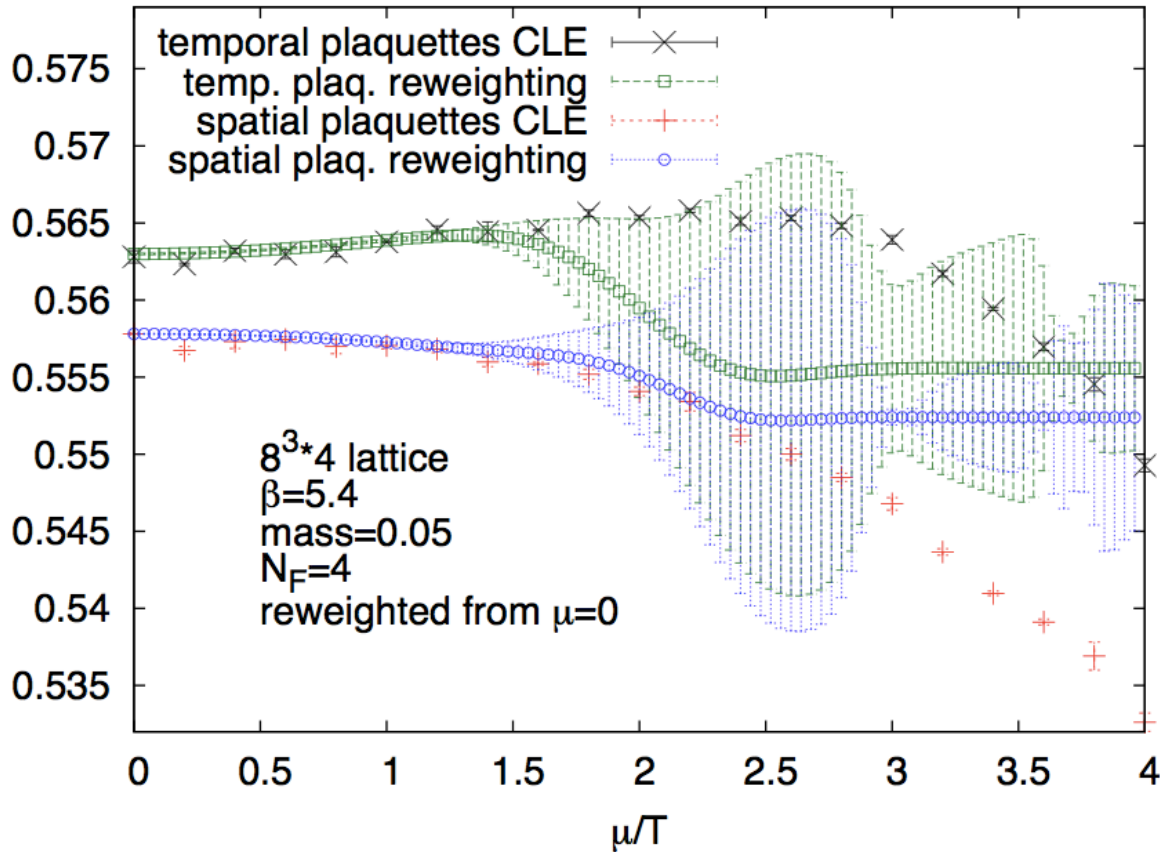
$$\mathcal{N} = \sum_{n,\mu} \text{tr}[\mathcal{U}_{n,\mu}^\dagger \mathcal{U}_{n,\mu} + (\mathcal{U}_{n,\mu}^\dagger)^{-1} \mathcal{U}_{n,\mu}^{-1} - 2]$$

- perform SL(3,C) trans. after every Langevin step



- proof of validity of gauge cooling [KN, Shimasaki, Nishimura, 1508.02377]

# CLM to QCD in QGP phase



Fodor, Katz,  
Sexty, Toeroek('15)

- Simulation at  $\mu/T \sim 4$ , far beyond  $\mu/T = 1$

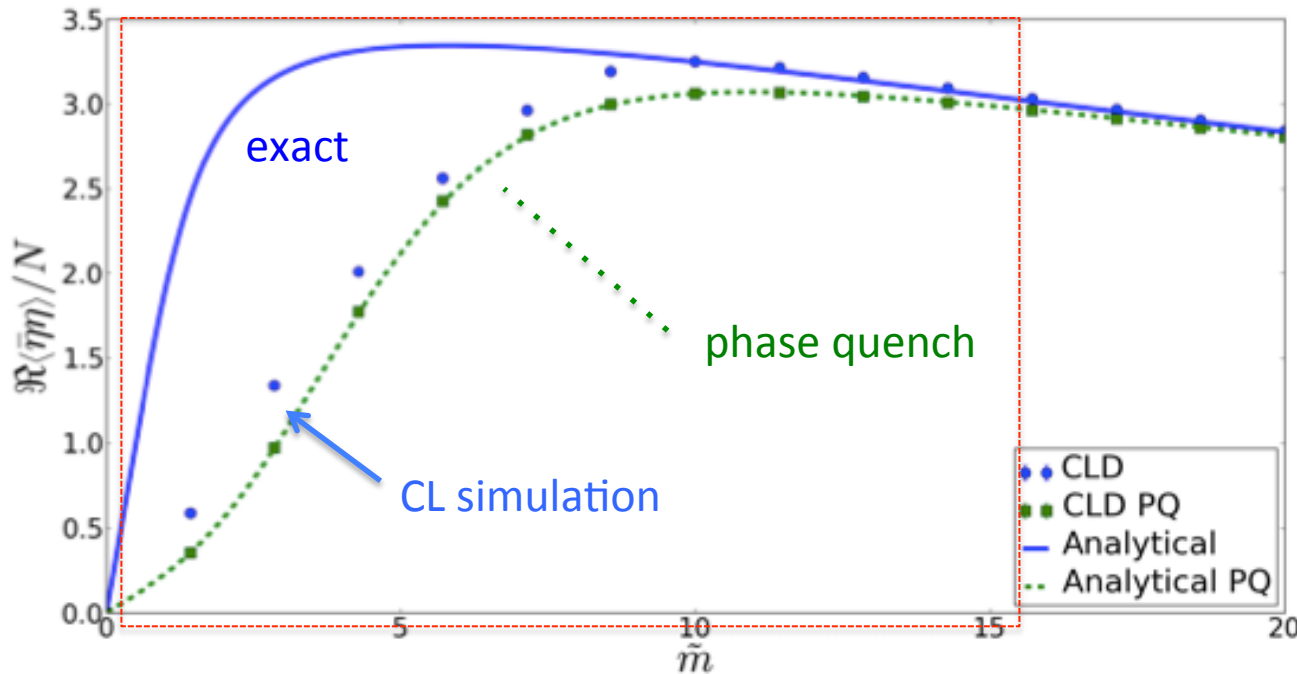
# CLM TO HADRON PHASE WHAT IS THE DIFFICULTY ?

# Is CLM available to hadron phase ?

- another problem has been reported in some models

## Chiral condensate vs quark mass in a ChRMT

[Mollgaard, Splittorff, PRD88 (2013), 11,116007]



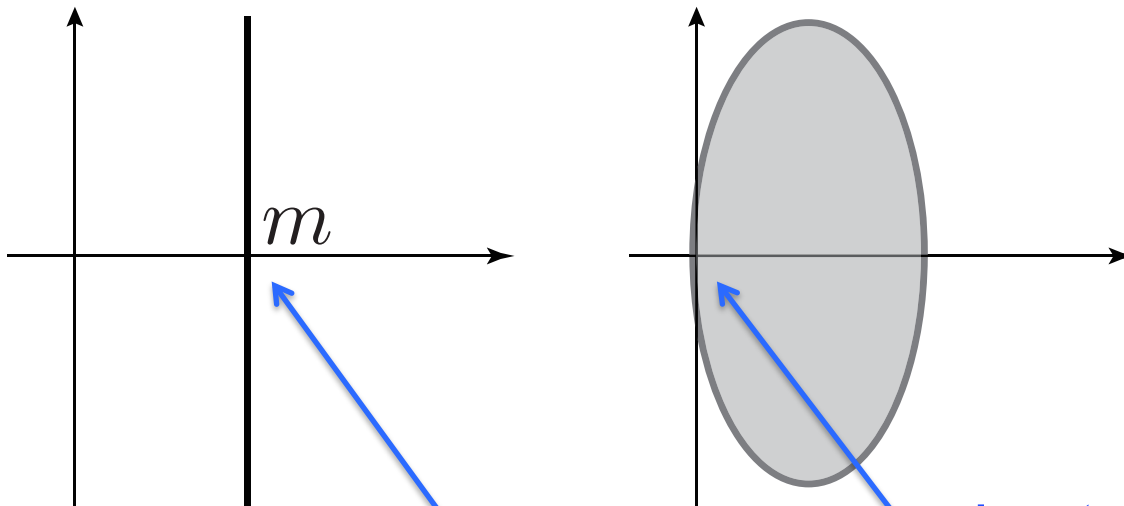
$T=0$   
 $\mu = \text{fixed}$

# What happens in hadron phase ?

- Fermion matrix,  $D+m$ , has zero eigenvalues at nonzero  $\mu$ , which *violates holomorphy of  $S$*

Distribution of eigenvalues of  $D+m$  at  $T=0$

left:  $\mu=0$ , right: nonzero  $\mu$



no gap in hadron phase  
[Banks-Casher relation]

$\det(D+m) = 0$   
action is not holomorphic

Related to  
early onset problem in  
QCD  
[KN et al. PTEP(2012)]

# Strategy

- Reducing lattice size [This talk]
  - zero-modes do not appear due to finite size effect
  - We introduce a new criterion of correctness of CLM, and confirm the validity of the numerical results.
- on-going
  - generalization of gauge cooling (shown to work for RMT) [KN, Shimasaki, Nishimura(2016)]
  - deformation of action

# Simulation setup

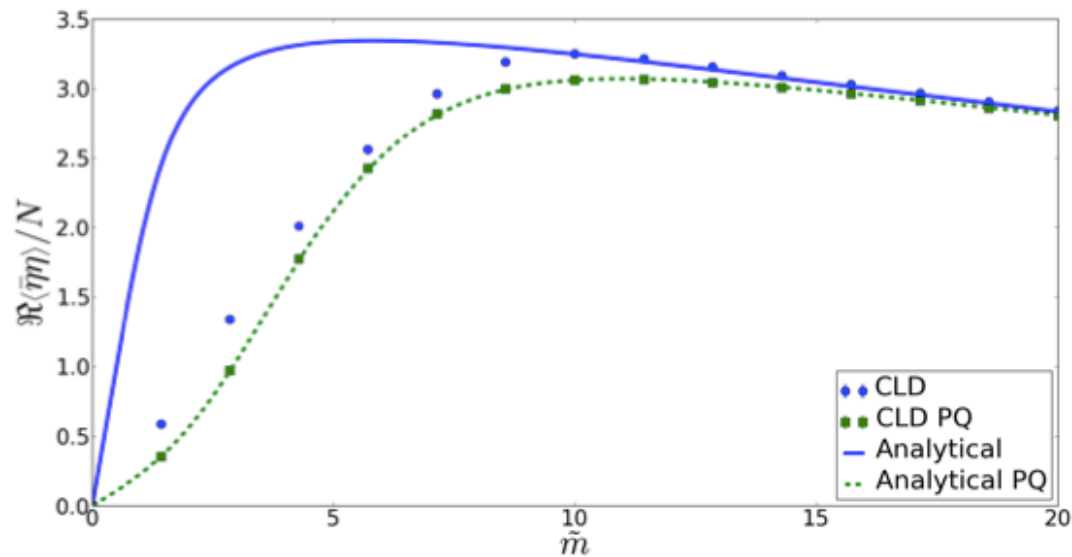
- Lattice setup
  - size :  $N_x=N_y=N_z=4$ ,  $N_t = 8$ ,  $\beta = 5.7$
  - quark mass:  $m_a = 0.05$ ,  
( $m_\pi a/2 \sim 0.27$  at mean field analysis)
  - $\mu a = 0 \sim 2$
  - Staggered fermion + plaquette gauge
- Langevin setup
  - $d\tau = 10^{-4}$ , total Langevin time = 20~50  
(preliminary)
  - gauge cooling max 50 steps after every Langevin step



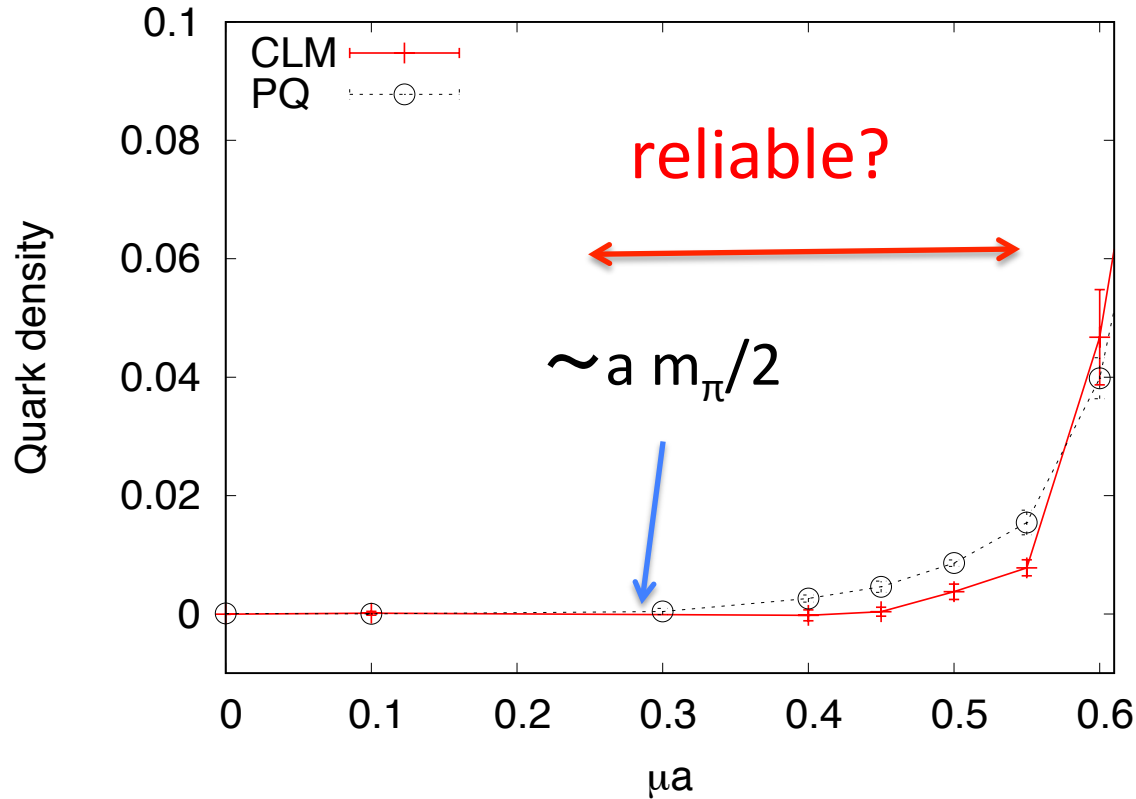
# Typical behavior when CLM fails

## CLM = phase quanch

$$\det \Delta(\mu) \rightarrow |\det \Delta(\mu)|$$



# Quark number density (CLM vs Phase Quench)



- onset of quark density
  - $\mu \sim 0.3$  (PQ)
  - $\mu \sim 0.4$  (CLM)

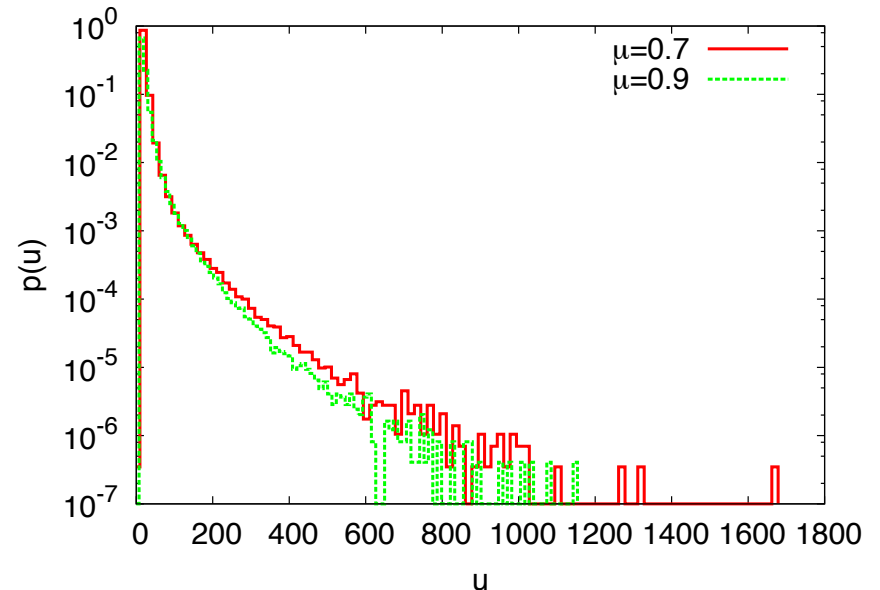
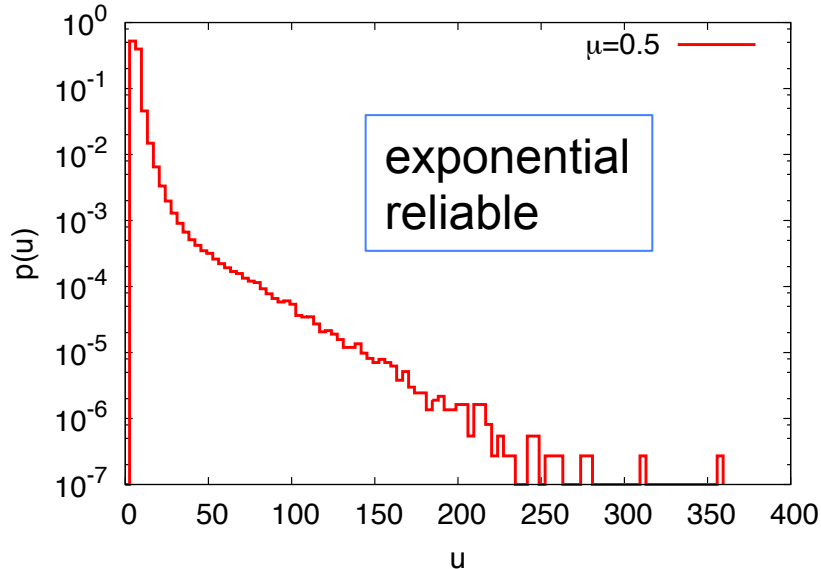
# new criteria for correctness

KN, Nishimura, Shimasaki,  
arXiv:1606.07627

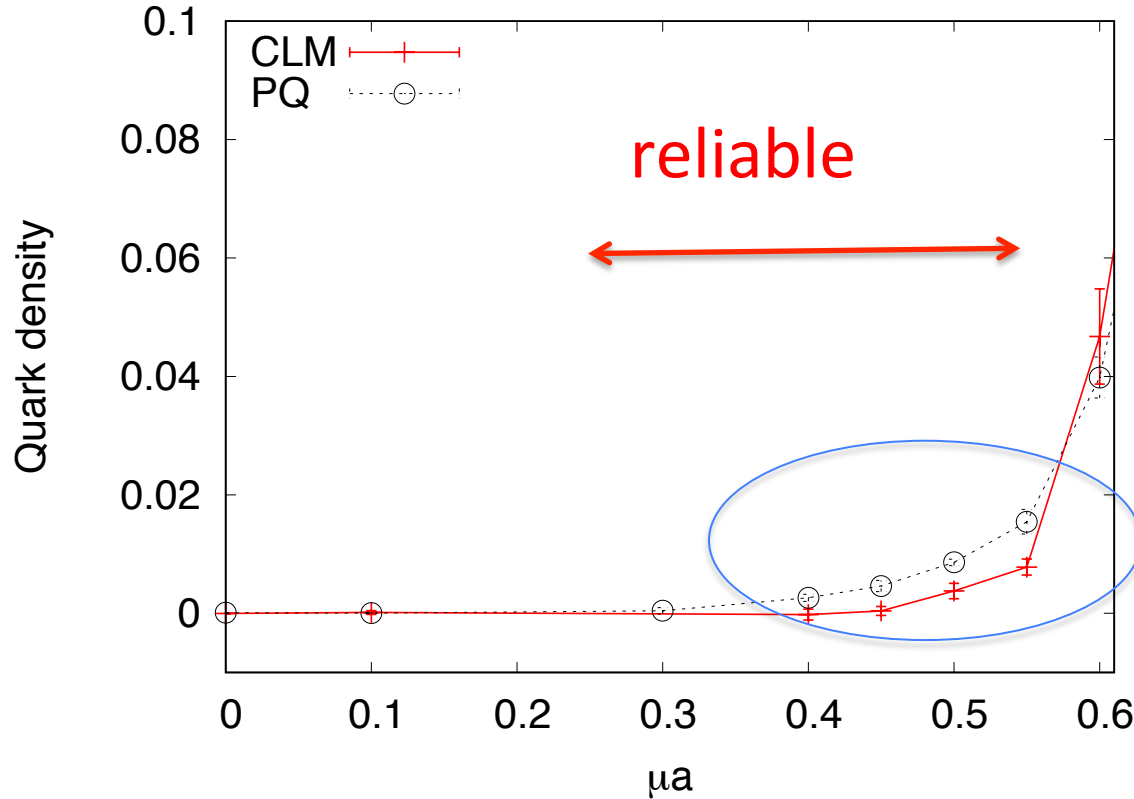
- probability distribution of drift

$$p(u; t) = \int \mathcal{D}\mathcal{U} \sum_{n, \mu} \delta(u - u_{n, \mu}(\mathcal{U})) P(\mathcal{U}; t),$$
$$u_{n, \mu} = \sqrt{(N_c^2 - 1)^{-1} \sum_a v_{an\mu} v_{an\mu}^\dagger}$$

larger  $u$ :  
near singularity  
large excursion



# Phenomenological understanding

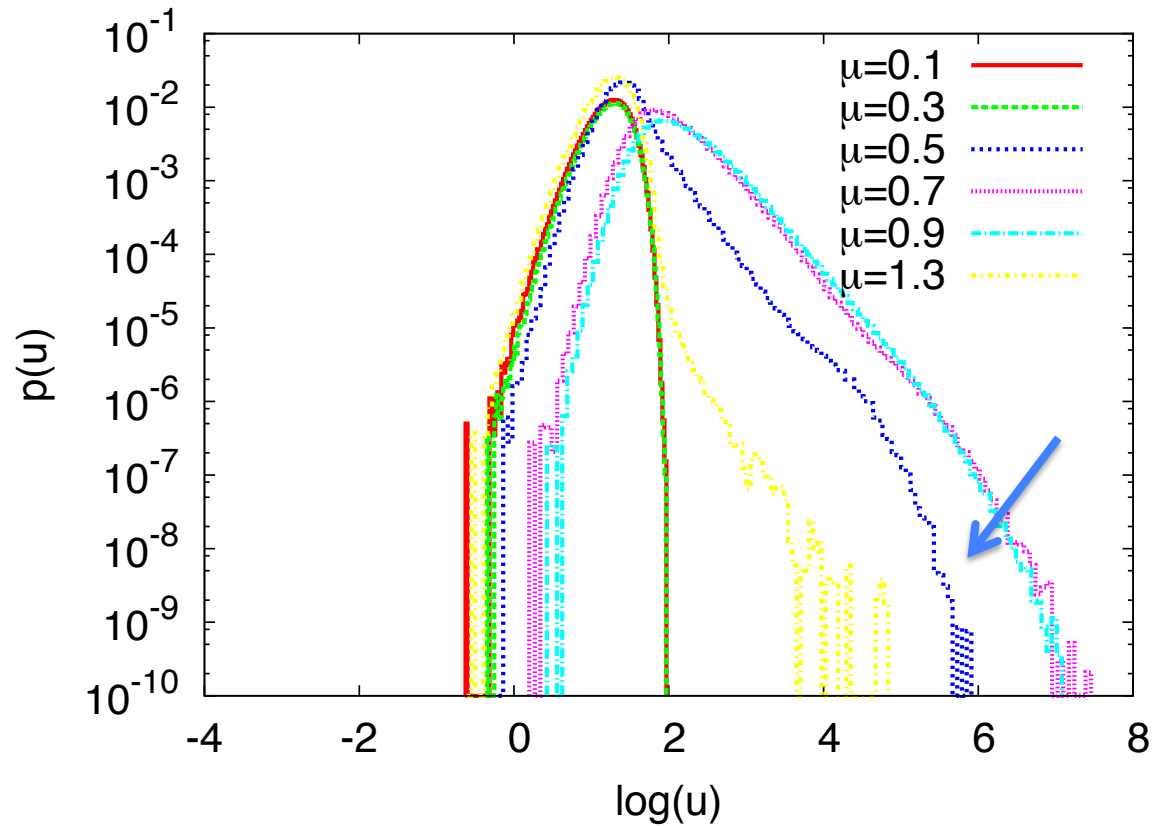


$$\rho = \rho_{\text{NM}} \sim 10\rho_{\text{NM}}$$

# Summary: progress in fd LQCD

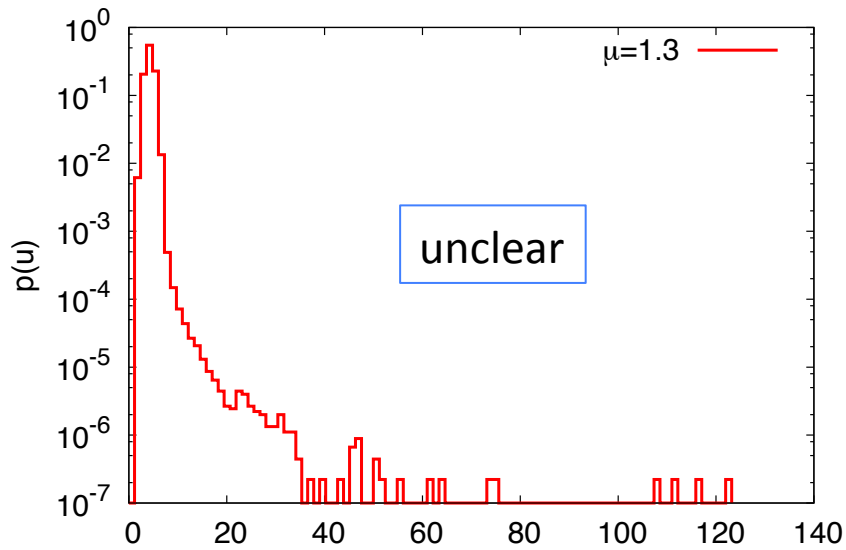
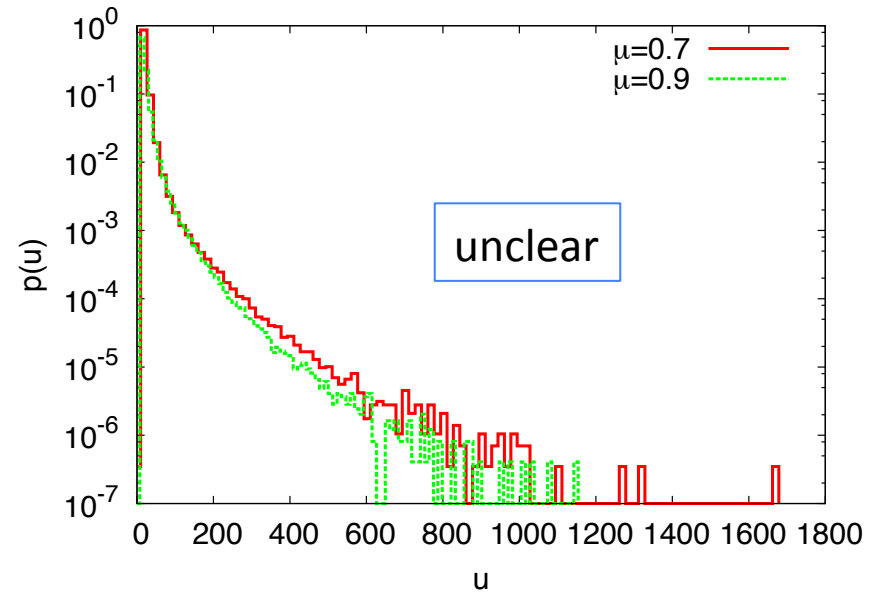
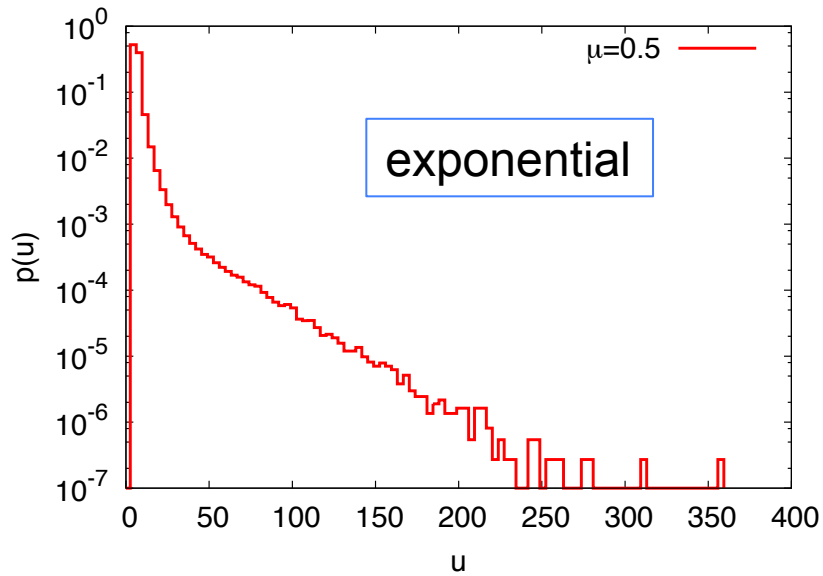
- MC-based approaches
  - pseudo critical line, EoS, cumulants
  - only for small density near  $T_c$
- Development of new approaches has begun
  - CLM, Lefshetz thimble, tensor networks, etc
- CLM + gauge cooling
  - allows us to study *high density* in QGP phase
- Hadron phase is still challenging
  - singular drift problem

# Probability of drift terms



- $\mu \leq 0.3$  : fall-off exponentially or faster => reliable
- how is for large mu ?

# Data in semi-log plot



# Proof of correctness of LM (BU)

- Average of an observable in LM is given by

$$\langle O(x^{(\eta)}(t)) \rangle_{\eta} = \int dx O(x) P(x; t)$$

$$P(x; t) = \left\langle \prod_k \delta(x_k - x_k^{(\eta)}(t)) \right\rangle_{\eta}$$

$$\langle \dots \rangle_{\eta} = \frac{\int \mathcal{D}\eta \dots e^{-\frac{1}{4} \int d\tau \eta^2}}{\int \mathcal{D}\eta e^{-\frac{1}{4} \int d\tau \eta^2}}$$

- According to the Fokker-Planck equation, P converges to

$$\lim_{t \rightarrow \infty} P(x; t) \propto e^{-S(x)}$$

- Average of the observable converges to

$$\lim_{t \rightarrow \infty} \langle O(x(t)) \rangle_{\eta} = \lim_{t \rightarrow \infty} \int \prod dx_k O(x) P(x; t),$$

average  
in LM

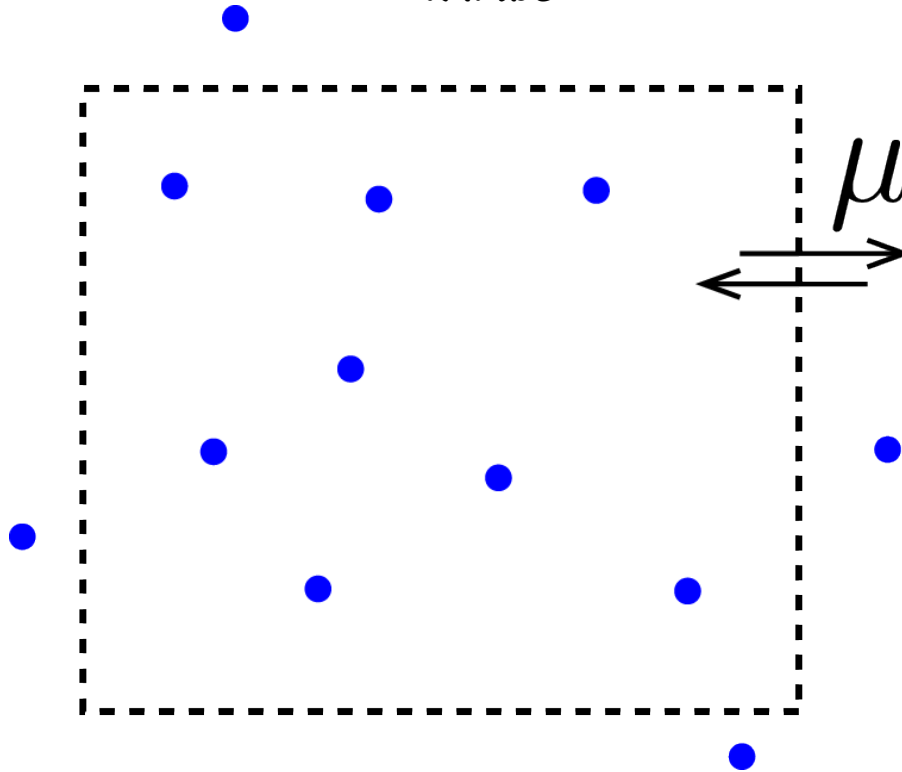
$$\propto \int \prod_k dx_k O(x) e^{-S(x)}$$

physical  
expectation value



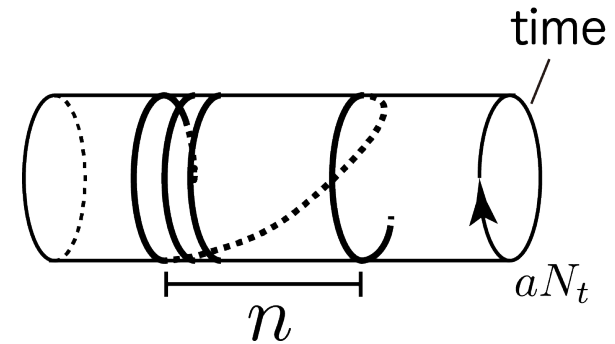
# Canonical approach

$$\left( \text{Grand canonical ensemble} \right) = \sum_{\text{particle number}} \left( \text{Canonical ensembles} \right)$$

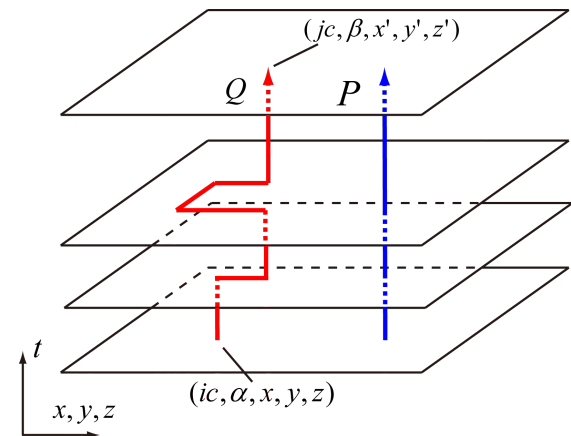


# Canonical approach: observable on lattice

$$Z_n = \sum_{\text{loops \& ensembles}} \text{closed loops with temporal winding number } n$$

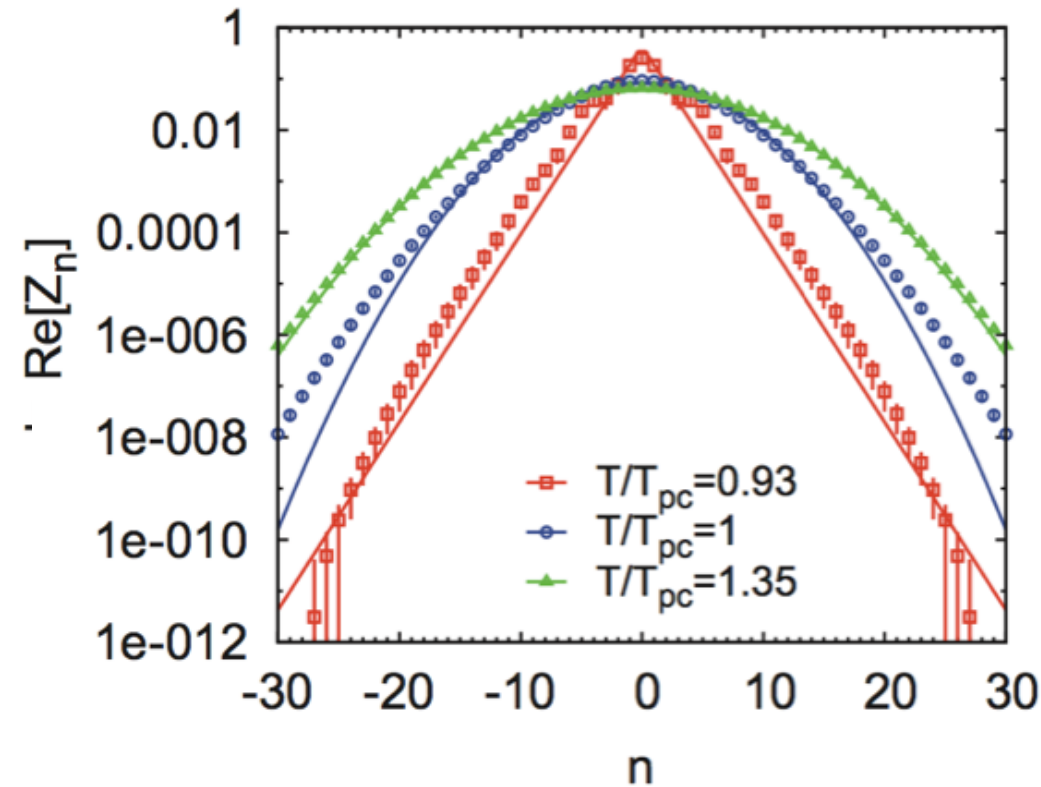


- Reduction of fermion determinant
  - $Q$  : transfer matrix
  - $Q^n$  : winding number  $n$



Reduction formula [Gibbs, PLB 172, 53 ('86). Hasenfratz & Toussaint, NPB371, 539('92), Borici, PTP. Suppl. 153, 335 ('04). Alexandru & Wenger, PRD83, 034502 ('11). KN&AN, PRD82,094027 ('10). Adams, PRL92, 162002 ('04), PRD70, 045002 ('04).]

# Numerical result



Setup: clover-improved Wilson fermion,  
RG-improved gauge action  
 $N_f = 2$   
 $m_{pi} \sim 800 \text{ MeV}$   
 $N_x=N_y=N_z = 8, 10, N_t = 4$

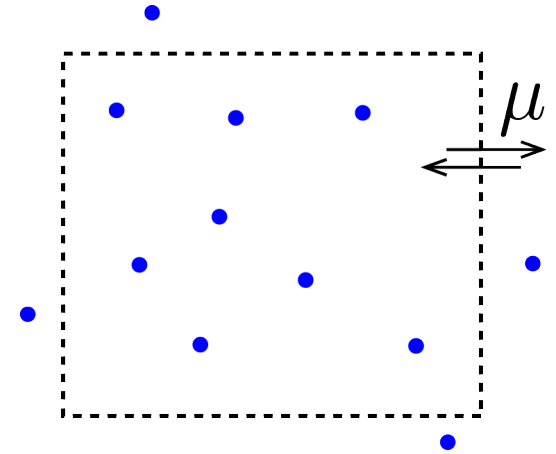
gauge configurations at  $\mu=0$   
are used (reweighting)

KN, S. Motoki, Y. Nakagawa, A.  
Nakamura, and T. Saito, PTEP. 01A,  
013 (2012); arXiv:1204.6480.

From Gaussian to non-Gaussian as  $T$  decreases

# possible criticisms

Sampling at  $\mu = 0$ :  
large  $n$  component is suppressed  
exponentially



Systematic errors in tail of the distribution  
(due to finite # of statistics)

Careful analysis is necessary to conclude the finding  
the phase transitions.